



PTO/SB/21 (01-03)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/666,594

Filing Date September 18, 2003

First Named Inventor Jolidon et al.

Art Unit

Examiner Name

Attorney Docket Number 21326 US

**ENCLOSURES (Check all that apply)**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
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| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund                               |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                        |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | <input type="checkbox"/> Remarks  |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | -References B1 to B4 and C1 to C17  |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Kimberly J. Prior

Signature

Date December 3, 2003

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this date December 3, 2003

Typed or printed Kimberly J. Prior

Signature

Date December 3, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventors: Jolidon, et al.

Serial No. 10/666,594, filed September 18, 2003  
(Ref. No. 21326 US)

For: **4-PYRROLIDINO-PHENYL-BENZYL ETHER DERIVATIVES**

**INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110  
December 3, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents B1 to B4, and C1 to C17 listed on Form PTO-1449 are enclosed.

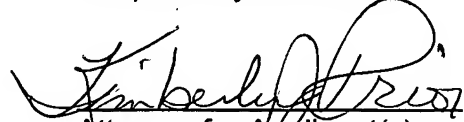
Document B1, which is not written in the English language, is abstracted in Document C17.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 10/666,594  
Filed: September 18, 2003

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

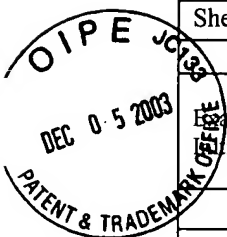
Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kimberly J. Prior", is written over a horizontal line.

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Attachments

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Substitute for form 1449A/PTO				<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/666,594		
				Filing Date	September 18, 2003		
				First Name Inventor	Jolidon, et al.		
(Use several sheets if necessary)				Attorney Docket Number	21326 US		
Sheet	1	of	1				
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials'	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited document MM-DD-YYYY		
		Number	Kind Code <sup>2</sup> (if known)				
	<b>A</b>						
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	<b>B1</b>	EP 0 985 665	✓		Degussa AG	03/15/2000	
	<b>B2</b>	WO 97/33572	✓		Somerset Pharmaceuticals, Inc.	09/18/1997	
	<b>B3</b>	WO 01/34172	✓		Vela Pharmaceuticals Inc.	05/17/2001	
	<b>B4</b>	WO 96/40095			Harris, Richard, Y.	12/19/1996	

#### NON PATENT LITERATURE DOCUMENTS

Examiner Initials'	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	<b>C1</b>	Bach, A. W. J., et al. Proc. Natl. Acad. Sci. USA 85:4934-4938 (1988)	
	<b>C2</b>	Cesura, A. M., & Pletscher, A., Prog. Drug Research 38:171-297 (1992)	
	<b>C3</b>	Fowler, C. J., et al. J. Neural. Transm. 49:1-20 (1980)	
	<b>C4</b>	Benedetti, M. S., et al. Biochem. Pharmacol. 38:555-561 (1989)	
	<b>C5</b>	Saura, J., et al. Neuroscience 70:755-774 (1996)	
	<b>C6</b>	Bentué-Ferrer, D., et al. CNS Drugs 6(3): 217-236 (1996)	
	<b>C7</b>	Gardner, D. M., et al. J. Clin. Psychiatry 57(3):99-104 (1996)	
	<b>C8</b>	Ikuta, H., et al. J. Med. Chem. 30:1995-1998 (1987)	
	<b>C9</b>	Danishefsky, S., et al. J. Amer. Chem. Soc. 97:3239-3241 (1975)	
	<b>C10</b>	Lam, P. Y. S., et al. Tetrahedron Lett. 43:3091-3094 (2002)	
	<b>C11</b>	Lam, P. Y. S., et al. Synlett 5:674-676 (2000)	
	<b>C12</b>	Chan, D. M. T., et al. Tetrahedron Lett. 39:2933-2936 (1998)	
	<b>C13</b>	Wolfe, J. P., et al. J. Amer. Chem. Soc. 118:7215-7216 (1996)	
	<b>C14</b>	Freidinger, R. M., et al. J. Org. Chem. 47:104-109 (1982)	
	<b>C15</b>	Schlaeger, E. J., & Christensen, K., Cytotechnology 30:71-83 (1999)	
	<b>C16</b>	Zhou, M., & Panchuk-Voloshina, N., Analytical Biochemistry 253:169-174 (1997)	
	<b>C17</b>	Abstract Corresponding to EP 0 985 665	
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation or abstract is attached.

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